

Privacy Policy for TriCord Advisors, Inc.

Effective Date: March 5, 2025

At TriCord Advisors, Inc., we are committed to protecting the privacy and confidentiality of our clients' personal information. This Privacy Policy outlines how we collect, use, and safeguard your information and explains your rights regarding your data.

1. Information We Collect

We may collect the following types of personal information not limited to:

- Names, Date of Birth, and Social Security Numbers.
- Phone Numbers, Address, and Employment History.
- Banking Details, Estate Planning Information, and Marital Status.

2. How We Collect Information

We gather information through:

- Online forms completed via our website or tools.
- In-person consultations and meetings.
- Financial management tools used during our services.

3. Use of Collected Information

The Information collected is used to provide robust Wealth Management Services, including:

- Investment planning and management.
- Financial compliance and reporting.
- Personalized support and client relationship management.

4. Text Message Communications

By providing your phone number, you consent to receive text messages from TriCord Advisors, Inc., including

updates, reminders, and other communications related to our services.

- **Opting In:** Clients may opt into receiving text messages by providing their phone number and agreeing to receive such communications.

- **Opting Out:** You can opt out of text message communications at any time by replying "STOP" to any text

message from us. Alternatively, you can contact us directly to update your communication preferences.

Standard messaging and data rates may apply.

5. Sharing of Information

We only share personally identifiable information (PII) with third parties at the explicit request of our clients.

TriCord Advisors does not sell or rent your data to any third-party entities.

6. Data Security

We prioritize the security of your personal information and employ:

- Advanced encryption protocols to safeguard sensitive data.
- Firewalls and secure networks to prevent unauthorized access.

7. Your Rights

You have the right to:

- Access and review the personal information we hold about you.
- Request corrections to inaccurate or incomplete data.
- Request the deletion of your data, subject to applicable laws.

To exercise these rights, please contact us using the information provided below.

8. Compliance with Laws

TriCord Advisors, Inc. complies with all applicable laws and regulations governing the privacy and security of personal information.

9. Updates to this Privacy Policy

We may update this Privacy Policy from time to time to reflect changes in our practices or legal requirements.

Any updates will be posted on our website, and the "Effective Date" at the top will be revised.

10. Contact Us

If you have any questions or concerns about this Privacy Policy or your data, please contact us at:

- Phone: 951-684-7011
- Email: contact@tricordadvisors.com

Text message opt-in data and consent are not shared with any third parties (including subsidiaries and affiliates). If you wish to be removed from receiving future communications, text STOP to opt out.

You agree to receive informational messages (appointment reminders, account notifications, etc) from TRICORD ADVISORS. Message frequency will vary. Message and data rates may apply. Carriers are not liable for delayed or undelivered messages. You can cancel SMS service at any time. Just reply STOP. Once you reply STOP to us, we will send a message to confirm that you have been unsubscribed. After this, you will no longer receive SMS messages from us. If you want to join again, just sign up as you did the first time and we will start sending SMS messages to you again. If you are experiencing issues with the messaging program you can reply with the word HELP for more assistance, or you can get help directly at contact@tricordadvisors.com. If you have any questions regarding privacy, please read our privacy policy [here](#).